

2653

October 23, 2002

VIA FIRST CLASS MAIL

Honorable Commissioner for Patents  
Washington, D.C. 20231



22887

PATENT TRADEMARK OFFICE

Re: Information Disclosure Statement  
Confirmation No.: 5214  
USSN: 08/485,070  
Filed: June 7, 1995  
Inventor: Kurt W. Getreuer  
Title: METHOD AND APPARATUS FOR MOVING CARRIAGE  
ASSEMBLY FROM INITIAL POSITION TO TARGET POSITION AND  
OPTICAL DISC SYSTEM INCLUDING SAME  
Atty. Docket No.: 951028(US)USC1X1X1D1 MMMI

Dear Sir:

Enclosed for filing please find the following:


1. Transmittal Form;
2. Fee Transmittal for FY 2003;
3. Communication - Information Disclosure Statement;
4. Form PTO - 1449;
5. Cover Letter;
6. Return Receipt Postcard, Control no. 3SQ2Y0; and
7. Certificate of First Class Mailing, dated October 23, 2002.

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Technology Center 2600

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

  
Caroline T. Do, Reg. No. 47,529  
Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

CD:SM

Enclosures



O I P E JC109  
OCT 28 2002  
PATENT & TRADEMARK OFFICE

Please type a plus sign (+) inside this box ☐ +

PTO/S13/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 08/485,070

Filing Date June 7, 1995

First Named Inventor Kurt W. Getreuer

Group Art Unit 2653

Examiner Name Dinh, Tan X.

Total Number of Pages in This Submission

Attorney Docket Number 951028(US)USC1X1X1D1 MMMI

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## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CID, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

See Remarks

Remarks

Cover Letter  
Postcard  
Form P.T.O. 1449  
Copies of five (5) references

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

DISCOVISION ASSOCIATES -- Intellectual Property Development  
2355 Main Street, Suite 200, Irvine, CA 92614 -- U.S.A. -- Tel: (949) 660-5000

Signature

Date

October 23, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 23, 2002

Typed or printed name

Susan Myers

Signature

Date

October 23, 2002

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00

Complete if Known

Application Number 08/485,070

Filing Date June 7, 1995

First Named Inventor Kurt W. Getreuer

Examiner Name Dinh, Tan X.

Group Art Unit 2653

Attorney Docket No. 951028(US)USC1X1X1D1 MMMI

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 04-1175

Deposit Account Name DISCOVISION ASSOCIATES

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	740	2005	370	Reissue filing fee	

180.00 SUB TOTAL (1)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\*=  x  =   
Independent Claims  -3\*\*=  x  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description
1202	18	2202	9	Claim in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt.	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 C.F.R. §1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR §1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00

SUBMITTED BY Discovision Associates

Complete (if applicable)

Name Printed/Typed Caroline T. Do

Registration No. 47,529  
Attorney / Agent

Telephone 946.660.5000

Signature

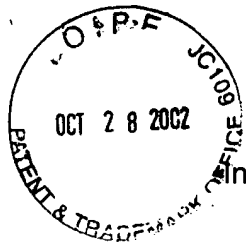
Date October 23, 2002

#### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#47  
m25  
1-03-03In re the Application of:  
Kurt W. Getreuer

Filed: June 7, 1995

Serial No.: 08/485,070

For: METHOD AND APPARATUS FOR  
MOVING CARRIAGE ASSEMBLY  
FROM INITIAL POSITION TO  
TARGET POSITION AND OPTICAL  
DISC SYSTEM INCLUDING SAME§ Art Unit: 2753  
§  
§ Examiner: Dinh, Tan  
§  
§ Attorney Docket Number:  
§ 951028(US)USC1X1X1D1 MMMI  
§  
§  
§  
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§INFORMATION DISCLOSURE STATEMENT  
UNDER RULE 1.97

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complying with the duty of disclosure set forth in 37 CFR 1.56, Applicant submits copies of patents as listed on the attached Form PTO 1449.

Applicant kindly requests that the Examiner review the references submitted herewith and enter as a matter of record herein. Applicant further requests that a signed and initialed copy of the Form PTO 1449 be returned to Applicant's mailing address.

The Commissioner is hereby authorized to charge payment of any fees associated with this Communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,

DISCOVISION ASSOCIATES

Caroline T. Do  
Reg. No. 47,529

Date: October 23, 2002

DISCOVISION ASSOCIATES  
INTELLECTUAL PROPERTY DEVELOPMENT  
P.O. Box 19616  
Irvine, California 92623  
(949) 660-5000

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231	
on: October 23, 2002	Susan Myers